

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	:
<b>In re</b>	:
	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:
	:
<b>Debtors.</b>	:
	:
-----X	
	<b>Chapter 11 Case No.</b>
	<b>08-13555 (SCC)</b>
	<b>(Jointly Administered)</b>
	<b>Ref. Docket Nos. 51572, 51573,</b>
	<b>51587-51593, 51666-51669</b>

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On December 18, 2015, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

Sworn to before me this  
29<sup>th</sup> day of December, 2015

/s/ Sidney J. Garabato

Notary Public, State of New York

No. 01GA6218946

Qualified in New York County

Commission Expires March 15, 2018

/s/ Lauren Rodriguez

Lauren Rodriguez

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

UBS AG  
BAHNHOFSTR. 45  
ATTN: HUGO KOLLER  
ZURICH 8001  
SWITZERLAND

UBS AG  
ATTN: HUGO KOLLER, OQ9C/O5GC  
P.O. BOX  
ZURICH 8098  
SWITZERLAND

Please note that your claim # 5459233-05 in the above referenced case and in the amount of \$0.00 allowed at \$20,641.54 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000101202632 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000172010



CREDIT SUISSE AG  
TRANSFEROR: UBS AG  
CRAVATH, SWAINE & MOORE LLP  
MR. TREVOR BROAD  
825 8TH AVENUE  
NEW YORK, NY 10019

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 51573 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 12/18/2015 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 18, 2015.

## **EXHIBIT B**

Claim Name	Address Information
BANCA MEDIOLANUM S.P.A.	ATTN: HEAD OF OPERATIONS CONTROL UNIT, VIA F. SFORZA, 15, BASIGLIO ( MILANO ) 20080 ITALY
BANCA POPOLARE DELL'EMILIA ROMAGNA S.C.	TRANSFEROR: BANCA MEDIOLANUM S.P.A., ATTN: PAOLO MAZZA, VIA SAN CARLO, 8/20, MODENA 41121 ITALY
BANK JULIUS BAER & CO. LTD.	ATTN: MICHAEL GERNY, ATTN: PATRIK ROOS, BAHNHOFSTRASSE 36, ZURICH CH-8010 SWITZERLAND
BANQUE PICTET & CIE SA	TRANSFEROR: BANK JULIUS BAER & CO. LTD., ATTN: DAVID AESCHLIMANN, ROUTE DES ACACIAS 60, GENEVA 73 1211 SWITZERLAND
CREDIT SUISSE AG	TRANSFEROR: UBS AG, CRAVATH, SWAINE & MOORE LLP, MR. TREVOR BROAD, 825 8TH AVENUE, NEW YORK, NY 10019
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: BAYERISCHE LANDESBANK, ATTN: JEFFREY OLINSKY, 60 WALL ST., 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CENTERBRIDGE SPECIAL CREDIT PARTNERS II, L.P., C/O DEUTSCHE BANK SECURITIES INC., ATTN: MATT WEINSTEIN, 60 WALL STREET, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CENTERBRIDGE SPECIAL CREDIT PARTNERS II, L.P., C/O DEUTSCHE BANK SECURITIES INC., ATTN: MATT WEINSTEIN, 60 WALL STREET, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CCP CREDIT ACQUISITION HOLDINGS, L.L.C., C/O DEUTSCHE BANK SECURITIES INC., ATTN: MATT WEINSTEIN, 60 WALL STREET, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CCP CREDIT ACQUISITION HOLDINGS, L.L.C., C/O DEUTSCHE BANK SECURITIES INC., ATTN: MATT WEINSTEIN, 60 WALL STREET, NEW YORK, NY 10005
EUGENIA III INVESTMENT HOLDINGS LIMITED	C/O EAGLE ADVISORS INC., ATTN: DAVID ALEXANDER, THREE WORLD FINANCIAL CENTER, 200 VESEY STREET 25TH FLOOR, NEW YORK, NY 10281-1097
FUNG, SUEN SIM	FLAT 308 3/F BLOCK R KORNHILL, 2 HONG ON ST., HONG KONG
GAIUS SPECIAL SITUATIONS FUND LP	TRANSFEROR: EUGENIA III INVESTMENT HOLDINGS LIMITED, C/O LIVIA CAPITAL PARTNERS, 65 E. 55TH STREET, 23RD FLOOR, NEW YORK, NY 10022
GAIUS SPECIAL SITUATIONS FUND LP	C/O KAYE SCHOLER LLP, ATTN: STEPHEN RUTENBERG, 250 W. 55TH STREET, NEW YORK, NY 10019
HBK MASTER FUND, L.P.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O HBK SERVICES LLC ATTN: LEGAL, 2101 CEDAR SPRINGS ROAD, SUITE 700, DALLAS, TX 75201
MORGAN STANLEY SENIOR FUNDING, INC.	TRANSFEROR: SCHILLER PARK CLO LTD., ATTN: JOHN RAGUSA, 1585 BROADWAY - 2ND FLOOR, NEW YORK, NY 10036
MORGAN STANLEY SENIOR FUNDING, INC.	C/O RICHARDS, KIBBE & ORBE LLP, ATTN: MANAGING CLERK (BANKRUPTCY), ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281
MORGAN STANLEY SENIOR FUNDING, INC.	TRANSFEROR: SCHILLER PARK CLO LTD., ATTN: JOHN RAGUSA, 1585 BROADWAY - 2ND FLOOR, NEW YORK, NY 10036
MORGAN STANLEY SENIOR FUNDING, INC.	C/O RICHARDS, KIBBE & ORBE LLP, ATTN: MANAGING CLERK (BANKRUPTCY), ONE WORLD FINANCIAL CENTER, NEW YORK, NY 10281
ROYAL BANK OF SCOTLAND N.V., THE	TRANSFEROR: FUNG, SUEN SIM, ATTN: CLIENT ENGAGEMENT TEAM, 7/F LINCOLN HOUSE, TAIKOO PLACE, 979 KING'S ROAD, QUARRY BAY HONG KONG
SCHILLER PARK CLO LTD.	C/O CIFC ASSET MANAGEMENT, ATTN: ROBERT RANOCCHIA, 250 PARK AVE., 5TH FLOOR, NEW YORK, NY 10177
SCHILLER PARK CLO LTD.	ANDREW SHAFFER, MAYER BROWN LLP, 1675 BROADWAY, NEW YORK, NY 10019-8520
SCHILLER PARK CLO LTD.	C/O CIFC ASSET MANAGEMENT, ATTN: ROBERT RANOCCHIA, 250 PARK AVE., 5TH FLOOR, NEW YORK, NY 10177
SCHILLER PARK CLO LTD.	ANDREW SHAFFER, MAYER BROWN LLP, 1675 BROADWAY, NEW YORK, NY 10019-5820
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ST. LEOHARDSTRASSE 25, ST. GALLEN 9001 SWITZERLAND
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, OQ9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, OQ9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: EDWINA PJ STEFFER, 901

SERVICE LIST  
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Claim Name	Address Information
VARDE INVESTMENT PARTNERS, LP	MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402

<b>Total Creditor Count 33</b>
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